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1021.39339VX1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: M. FUTAMOTO, et al
Serial No.: 10/664,870
Filed: September 22, 2003
U.S. Patent No. 7,147,941
Issued: December 12, 2006
For: PERPENDICULAR MAGNETIC RECORDING MEDIA,
MAGNETIC RECORDING APPARATUS

**PETITION REQUESTING CERTIFICATE OF CORRECTION
UNDER 37 CFR §3.81(b) and 37 CFR §1.323**

MS Petitions

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

February 20, 2007

Pursuant to 37 CFR §3.81(b), Applicants respectfully request that the present Petition be granted and that a Certificate of Correction be issued in connection with the above-referenced application under 37 CFR §1.323 to correct the error noted on the attached Form PTO-1050.

After review of the above-referenced patent, it was discovered that Applicants incorrectly identified the Assignee to which the above-referenced application is assigned on the Issue Fee Transmittal (Form PTOL-85) filed October 24, 2006. The Assignment for the above-referenced application was submitted for recordation under 37 CFR 3.11 well before issuance of the patent and was recorded on January 27, 2005 prior to the issuance of the above-identified patent. A copy of the Issue Fee Transmittal filed on October 24, 2006 and a corrected Issue Fee Transmittal identifying the correct

02/21/2007 MBERHE 00000149 7147941

01 FC:1464
02 FC:1811

130.00 0P
100.00 0P

Assignee as Hitachi Global Storage Technologies Japan, Ltd., of Kanagawa, Japan is attached.

Specifically, the October 24, 2006 Issue Fee Transmittal incorrectly identified the Assignee as Hitachi, Ltd., of Tokyo, Japan instead of **Hitachi Global Storage Technologies Japan, Ltd., of Kanagawa, Japan**. The correct Assignee to which the above-referenced patent is assigned is **Hitachi Global Storage Technologies Japan, Ltd., of Kanagawa, Japan** as per the Assignment recorded on January 27, 2005 at Reel 015642 and Frame 0704. A copy of the Patent Assignment Abstract of Title evidencing such is attached.

Accordingly, it is respectfully requested in accordance with 37 CFR §3.81(b), that the present Petition be granted and that a Certificate of Correction be issued under 37 CFR §1.323 identifying the correct Assignee of the above-referenced patent as **Hitachi Global Storage Technologies Japan, Ltd., of Kanagawa, Japan** consistent with the Assignment of the application as noted above.

Upon granting of this Petition, Applicants respectfully request that the file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, or credit any overpayment of fees, to the deposit account of MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C., Deposit Account No. 50-1417 (1021.39339VX1).

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.



Carl I. Brundidge
Registration No. 29,621

CIB/jdc
(703) 684-1120

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO: 7,147,941

DATED: December 12, 2006

INVENTOR(s): M. FUTAMOTO, et al

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

-- (73) Assignee: Hitachi Global Storage Technologies Japan, Ltd.,
Kanagawa (JP) --

MAILING ADDRESS OF SENDER

PATENT NO: 7,147,941

Carl I. Brundidge, Esquire
MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.
1800 Diagonal Road - Suite 370
Alexandria, VA 22314

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PATENT NO: 7,147,941

DATED: December 12, 2006

INVENTOR(s): M. FUTAMOTO, et al

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-- (73) Assignee: Hitachi Global Storage Technologies Japan, Ltd.,
Kanagawa (JP) --

MAILING ADDRESS OF SENDER

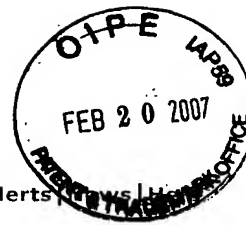
Carl I. Brundidge, Esquire
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Alexandria, VA 22314

PATENT NO: 7,147,941

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

***NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.***

Total Assignments: 1

Patent #: [7147941](#)

Issue Dt: 12/12/2006 Application #: 10664870 Filing Dt: 09/22/2003

Publication #: [US20040062953](#)

Pub Dt: 04/01/2004

Inventors: Masaaki Futamoto, Kazuetsu Yoshida, Yukio Honda, Yoshiyuki Hirayama, Atsushi Kikugawa

Title: PERPENDICULAR MAGNETIC RECORDING MEDIA, MAGNETIC RECORDING APPARATUS

Assignment: 1

Reel/Frame: [015642/0704](#)

Recorded: 01/27/2005

Pages: 13

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [HITACHI, LTD.](#)

Exec Dt: 01/20/2005

Assignee: [HITACHI GLOBAL STORAGE TECHNOLOGIES JAPAN, LTD.](#)

2880 KOZO, ODAWARA-SHI

KANAGAWA, JAPAN

Correspondent: ANTONELLI, TERRY, STOUT & KRAUS, LLP

MELVIN KRAUS

1300 NORTH 17TH STREET - SUITE 1800

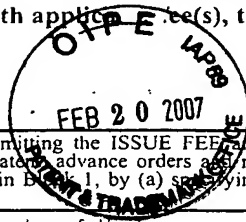
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24956 7590 07/28/2006

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(Signature)
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,870	09/22/2003	Masaaki Futamoto	1021.39339VX1	4321

TITLE OF INVENTION: PERPENDICULAR MAGNETIC RECORDING MEDIA, MAGNETIC RECORDING APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/30/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
RICKMAN, HOLLY C	1773	428-828100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Mattingly, Stanger,
- 2 Malur & Brundidge, P.C.
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hitachi Global Technologies Japan, Ltd., Kanagawa, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
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- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-1417 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date February 20, 2007

Typed or printed name Carl I. Brundidge

Registration No. 29,621

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Mattingly, Stanger, Malur & Brundidge, P.C.

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~~Hitachi, Ltd.~~

~~Tokyo, Japan~~

HITACHI Global Storage Technologies Japan, Ltd. Kanagawa, JAPAN

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Authorized Signature _____

Date October 24, 2006

Typed or printed name Carl I. Brundidge

Registration No. 29,621

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